



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Shawn X. Gao, et al.

Serial No.: 09/972,407

Filed: 10/05/2001

For: **DEVICE FOR PRESENTING ACOUSTICAL  
AND VIBRATORY STIMULI AND METHOD OF  
CALIBRATION**

Art Unit: 2644

Examiner: not assigned

**RECEIVED**

MAR 21 2003

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

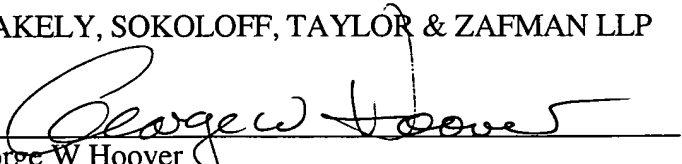
Commissioner for Patents  
Washington, D.C. 20231

Pursuant to Applicant's duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby submits copies of the references cited in the enclosed form 1449 (modified). These references are submitted prior to the issuance of the first Office Action. The relevance of these references as asserted by the examining officer for the international preliminary examining authority, is explained in the enclosed copy of the International Search Report for the PCT application corresponding to the subject U.S. application.

Respectfully submitted,

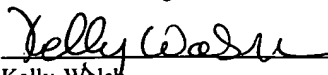
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: March 14, 2003

  
George W Hoover  
Reg. No. 32,992

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025  
(310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as postage prepaid first class mail in an envelope addressed to: Box Non Fee, Commissioner for Patents, Washington, D.C. 20231 on: March 14, 2003

  
Kelly Walsh  
March 14, 2003  
Date

Please type a plus sign inside this box --> +

2644  
#6  
87299

PTO/SB/21 (6/98)  
Approved for use through 09/30/00 OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



## TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

<b>TOTAL NUMBER OF PAGES IN THIS SUBMISSION</b>	<b>4+</b>	<b>Application Number</b>	<b>09/972,407</b>
		<b>Filing Date</b>	<b>10/05/2001</b>
		<b>First Named Inventor</b>	<b>Shawn X. Gao</b>
		<b>Group Art Unit</b>	<b>2644</b>
		<b>Examiner Name</b>	<b>not assigned</b>
		<b>Attorney Docket Number</b>	<b>37422.P012</b>

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) & Accompanying Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication Group <input type="checkbox"/> Appeal Communication to Board of Appeals & Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) PTO Form 1449 (1 p.) Two (2) cited references International Search Report Postcard
<b>Remarks</b> In the event that an extension of time is required to prevent this application from being abandoned, such extension is hereby requested and authorization is hereby given to charge the appropriate fee to Deposit Account No. 02-2666.		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

<b>Firm or Individual Name</b>	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP George W Hoover, Reg. No. 32,992	<b>RECEIVED</b> MAR 21 2003 Technology Center 2600
<b>Signature</b>		
<b>Date</b>	March 14, 2003	

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:		March 14, 2003	
<b>Typed or Printed Name</b>	Kelly Walsh		
<b>Signature</b>		<b>Date</b>	March 14, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.